

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Laurie RUSSELL, et al.

SERIAL NO: New Application

FILED: Herewith

FOR: GAS-SLIP PREPARED REDUCED SURFACE DEFECT OPTICAL PHOTOCONDUCTOR ALUMINUM ALLOY TUBE

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**


- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
\_\_\_\_\_  
J. Derek Mason

Registration No. 35,270

Customer Number

**22850**

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

Stefan U. Koschmieder, Ph.D.

Registration No. 50,238

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. <b>249036US23</b>		SERIAL NO. <b>New Application</b>	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT <b>Laurie RUSSELL, et al.</b>			
				FILING DATE <b>Herewith</b>		GROUP	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	2,791,812	05/14/1957	Dangelzer, et al.			
	AB	4,166,495	09/04/1979	Yu			
	AC	4,285,388	08/25/1981	Sevastakis			
	AD	4,572,280	02/25/1986	Haller			
	AE	4,693,298	09/15/1987	Wagstaff			
	AF	5,074,353	12/24/1991	Ohno			
	AG	5,452,756	09/26/1995	Ohatake, et al.			
	AH	5,632,323	05/27/1997	Naess, Jr., et al.			
	AI	6,132,531	10/17/2000	Fang, et al.			
	AJ	5,718,416	02/17/1998	Flisakowski, et al.			
	AK	5,617,992	04/08/1997	Huddleston, et al.			
	AL	4,730,660	03/15/1988	Tinnes, et al.			
	AM	5,776,269	07/07/1998	Farrar, Jr., et al.			
	AN	4,202,402	05/13/1980	Roehrig			
	AO	5,582,791	12/10/1996	Arnberg, et al.			
	AP	6,407,047	06/18/2002	Mehta et al.			
	AQ	6,645,321	11/11/2003	Sigsworth			
	AR	6,073,677	06/13/2000	Bawckerud et al.			
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES                      NO		
	AS	56-136257	10/24/1981	Japan			XX
	AT	WO 96/27686	09/12/1996	WIPO			
	AU	09253798	09/30/1997	Japan (Abstract Only)			
	AV	09290206	11/11/1997	Japan (Abstract Only)			
	AW	07197164	08/01/1995	Japan (Abstract Only)			
	AX	11-61312	03/05/1999	Japan			XX
	AY	07003409	01/06/1995	Japan (Abstract Only)			
	AZ	07032107	02/03/1995	Japan (Abstract Only)			
	AAA	2001-342528	12/14/2001	Japan			XX
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AAB				<input type="checkbox"/> Additional References sheet(s) attached		
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							